

## PE/Win Valuation System Version 1.04b Web Update Release Notes

PE 1.04b

PE/Win Version 1.04.3352

September 15, 2009

DC/Win Version 1.04b will read 1.04.3352 after installing this update. Many changes and enhancements have been added in this update. Highlights of the changes include the following:

- ◆ NEW Feature – Plan Comparison
- ◆ NEW Feature - Use Top Heavy Percent regardless of Key Contributions.
- ◆ Notes Report changed to Notes and Warnings and moved to the Proposal tab.
- ◆ NEW Reports added to Report Writer – including Census and Account Balance templates.
- ◆ Report Writer Preview extended until the end of the year, December 31.

### Plans

- NEW Feature - Plans|Provisions|Limits added checkbox to use the Top Heavy percent regardless of what the contribution to the key employees is. Helpful for plans that are providing the top heavy percent for another plan of the sponsor.

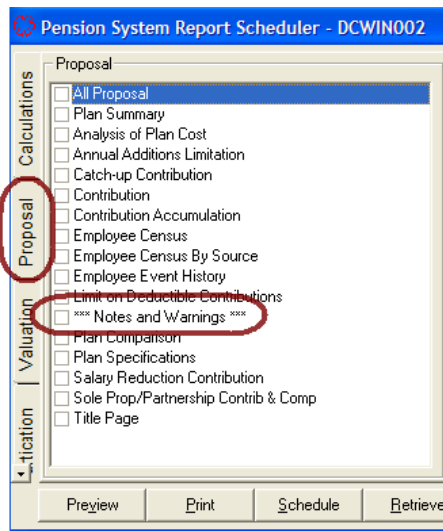
1 Plan / Sponsor	2 Provisions	3 Assumptions	4 Misc
<b>Limits and Top Heavy</b>			
415 Contribution Limits		Top Heavy Minimum Percent	
Dollar Limit	Percent Limit	3	
49,000	999	<input type="checkbox"/> Top Heavy Minimums Required in this Plan this Year <input type="checkbox"/> Make Additional Contribution for TH Min <input checked="" type="checkbox"/> Use TH Min Pct Regardless of Key Contributions	
Deferral Limit	If true, use the TH Min Pct without reducing to max key contribution. E.g. when key's get benefits in another plan.		
16,500	5,500		
401(a)(17) Comp Limit	414(s) De Minimis Percentage		
245,000	0		

### Employees

- Exporting from the edit grid fixed. Certain fields, such as the forfeiture and vested payment options, were causing errors and then the edit grid would not export.

### Reports

- Participant Statements changed how vesting is displayed. Vesting is listed by each source. This change removes the confusion of a printing "100% vested on all other accounts" while there may be an employer or matching source with a vesting schedule that is not displayed until the participant has money in that source.
- Plan Specifications fixed to show complete class, division, location entry. If the entry was more than a letter long without an additional description entry, then only the last letter of the entry had been printing.
- Plan Specifications will now show a contribution made with an integrated formula where the Percent on Excess is zero.
- Deductible Limits report corrected to show the correct amount. A previous feature enhancement on the report caused it to show incorrect amounts.
- Notes Report changed to Notes and Warnings and moved to the Proposal tab. Notes and Warnings report provides valuable information about the plan and employees.



- Notes and Warnings report corrected to no longer show prior year terminees with note 239 - No year of service for eligibility given. Last update started to print the prior year terminees that had less than 12 months of break in service.
- 401(a)4 General test will now use forfeitures that are overrides on the participant. Overridden forfeitures were not being added into the testing.
- Sorting employees on reports now works better. Certain sort orders were not printing in the correct order or not showing the correct employee in that sort option.

### Report Writer

- Preview trial for Report Writer updated through the end of the year, December 31, 2009. Please contact your sales person prior to December 31, 2009 to license Report Writer.
- NEW Report Writer templates available –
  - Blank Census template
  - Blank Account Balance template
  - Contribution Report
  - Contribution by Source Report
  - Participant Statements by Investment ver 2

### Import/Export

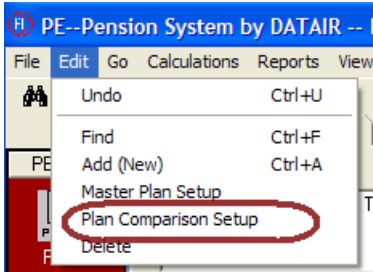
- Corrected importing events for stopped being owner or officer when the employee never had an ownership or officer event.
- Import error caused by non-highly compensated employee corrected and will now import NHCE status without error message.

### Plan Comparison

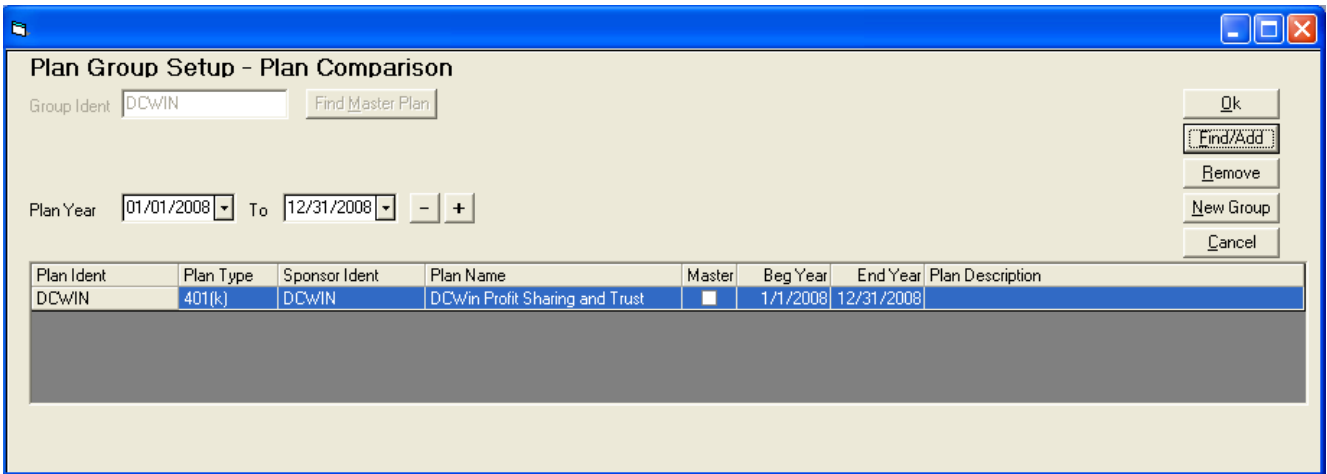
- NEW Feature - Plan Comparison will allow multiple plans to be compared for contributions to the principals and employees. Master Plans may be included in the group so you can compare combined plans. Plans must be of the same plan year and all plans in the group must be calculated in the current version. The Plan Comparison report is available from any of the plans in the group. Making it easy to change the plan, recalculate and then reprint the Plan Comparison report. See Page 3 of these release notes for setting up plans to use in Plan Comparison.

# Plan Comparison

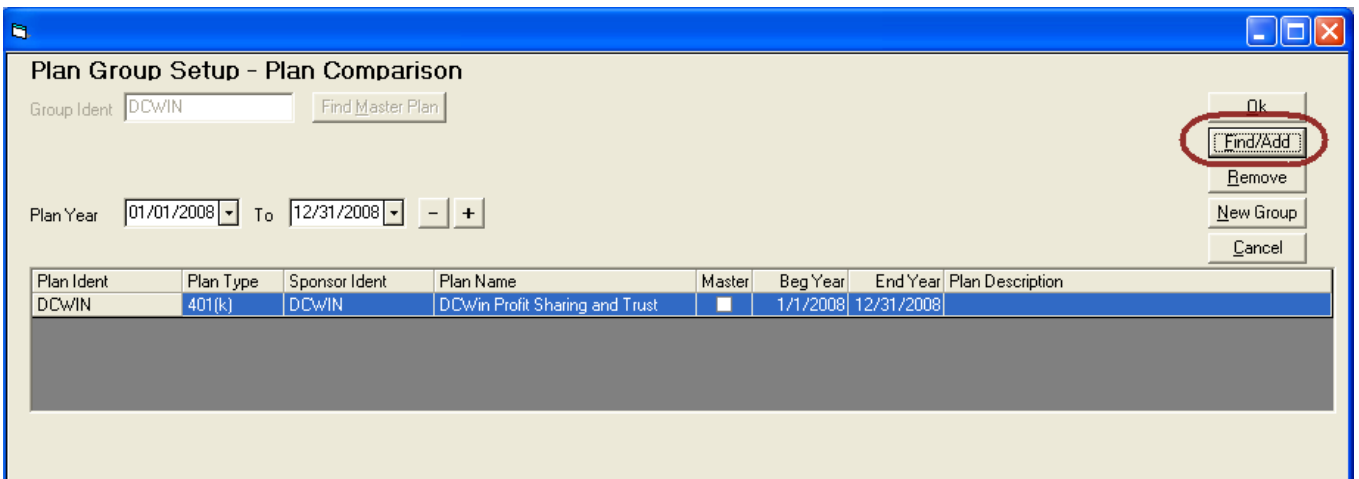
1) Open Plan Comparison under Edit



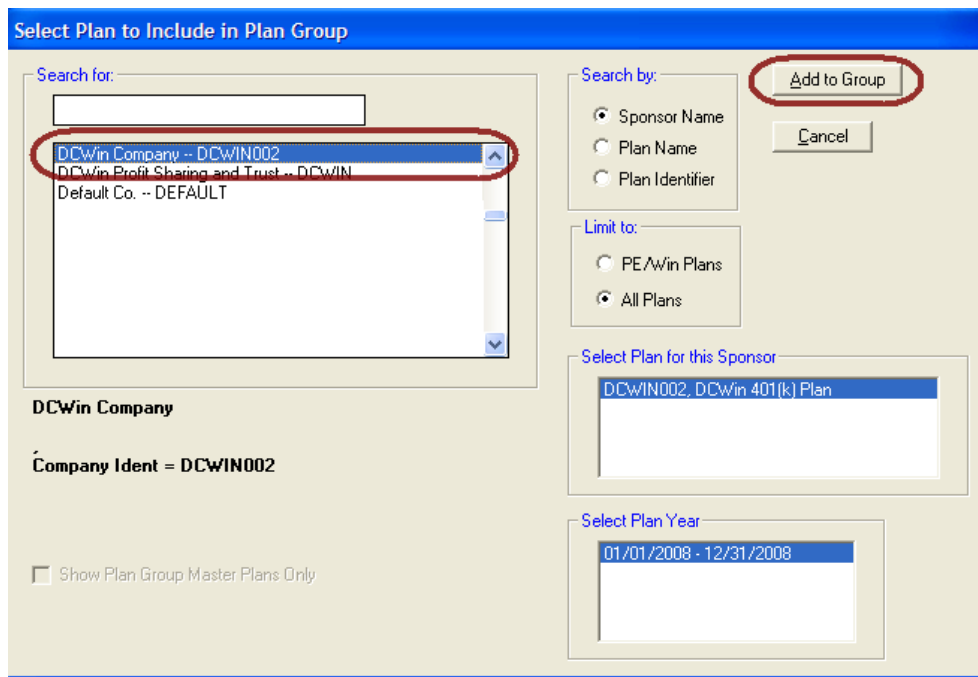
2) A new window will open and have the plan that was open already listed.



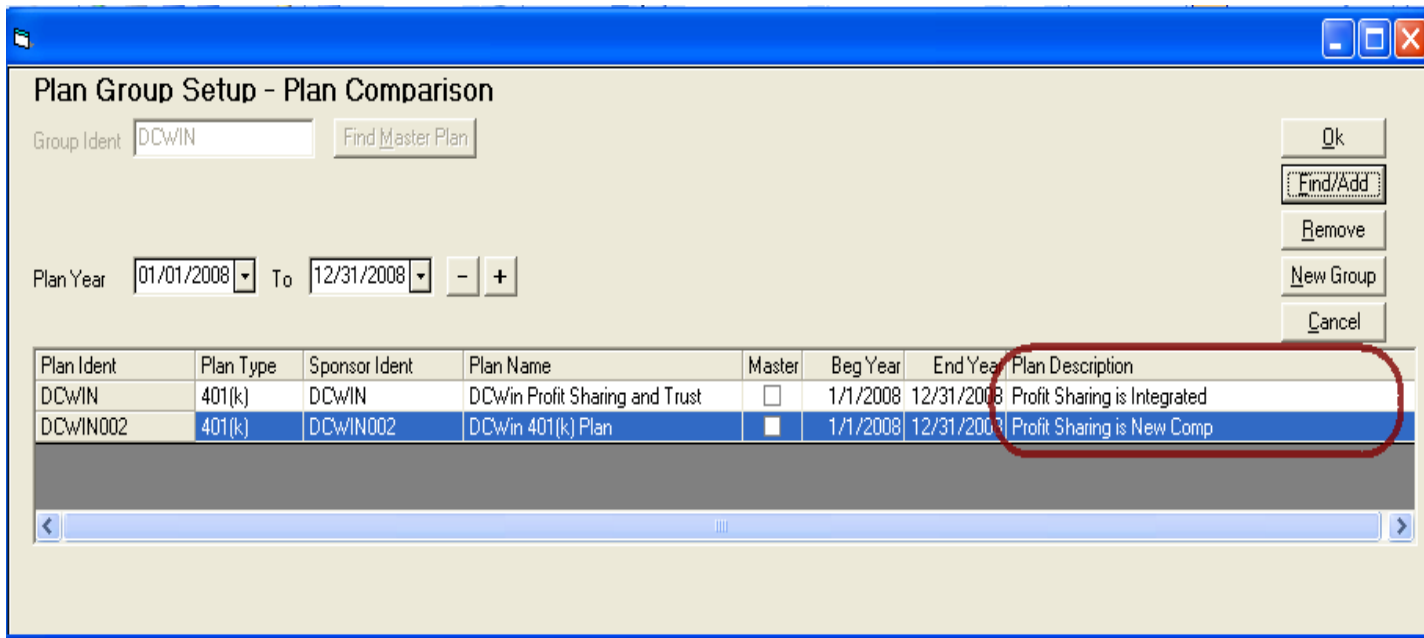
3) Find additional plans for comparing.



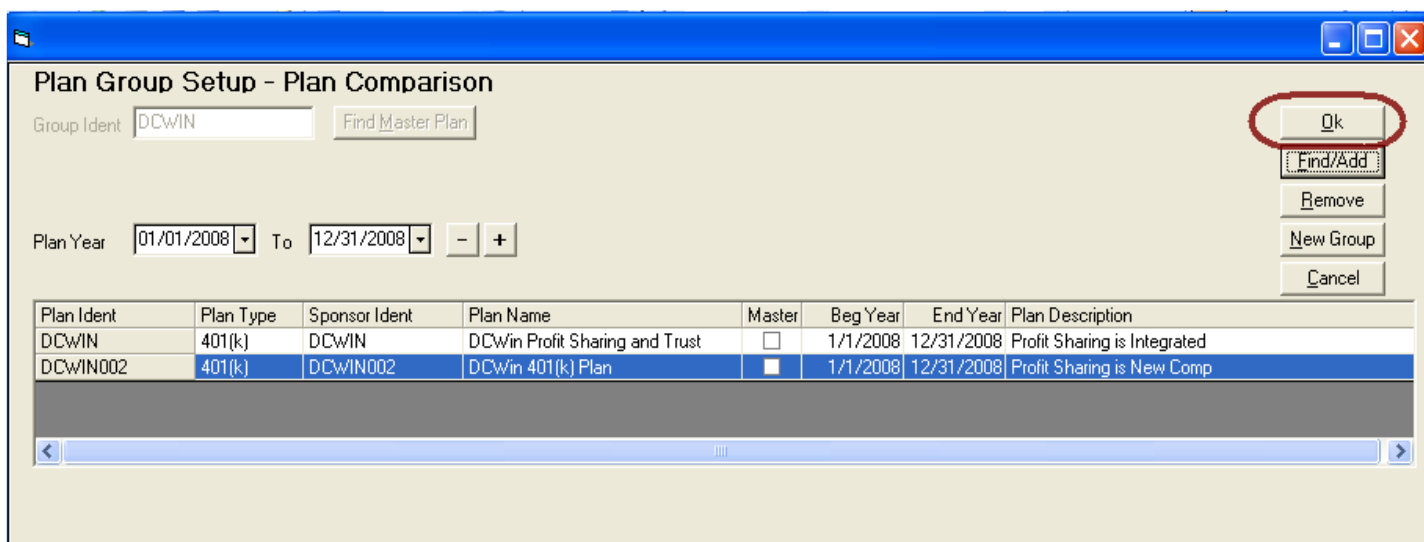
- 3) Add it to the Group. Add as many plans as are in the group, including Mater Plans to compare a DB plan combined with a profit sharing plan to an age weighted plan if you wish.



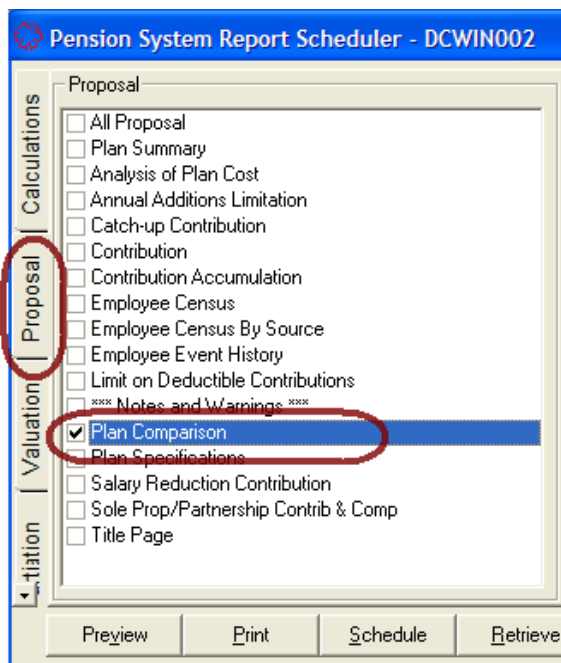
- 5) Enter a Plan Description by clicking in the box typing



6) Click Ok and then go to reports and print the Plan Comparison



7) Plan Comparison Report is under the Proposal tab and can be accessed from any of the plans in the group





## PE/Win Valuation System Version 1.04a Web Update Release Notes

PE 1.04a

PE/Win Version 1.04.2411

August 12, 2009

DC/Win Version 1.04a will read 1.04.2411 after installing this update. The changes for this release affect only Report items including:

- ◆ Safe Harbor Deemed to Pass message has been corrected.
- ◆ Contribution Report no longer prints sources that do not have an allocation.

### Reports

- Safe Harbor Deemed to Pass message has been corrected. Release 1.04 introduced a new feature for deemed to pass if all money was recharacterized as Catch-up. This new message was printing for all Safe Harbor plans.
- Contribution Report will no longer display sources that do not have an allocation. Plans that had sources such as Rollover or merged Money Purchase sources were showing those sources on the contribution report. This will also work for active sources that are not allocating a contribution in the current period.
- Contribution Report fixed for sorting by Class/Div/Loc only. When Class/Div/Loc is the only sort item, the report would not sort when printed.
- Plan Specs now are more consistent with rounding for vesting percentages. At times, there could be too many decimal places showing.
- All Participant Statements corrected printing vesting percent that was not always showing for sources that did not have a beginning balance or contribution but may have had other transactional activity.